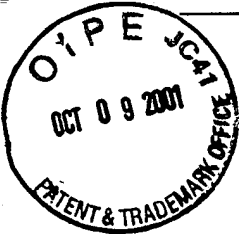


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: EPSTEIN ET AL. Examiner: UNKNOWN  
Serial No.: 09/922,438 Group Art Unit: UNKNOWN  
Filed: AUGUST 3, 2001 Docket: 56111USA3A (7780.631US01)  
Due Date: NOVEMBER 4, 2001  
Title: OPTICAL FILM HAVING MICROREPLICATED STRUCTURES; AND METHODS



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on October 3, 2001.

By: Debra A. Bailey  
Debra A. Bailey

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Submission of Formal Drawings, 23 Sheets of Formal Drawings
- ☒ Other: Communication, copy of 2 signed Combined Declaration and Power of Attorneys filed August 3, 2001, copy of stamped return postcard and transmittal sheet, copy of Parts 1 and 2 of Notice to File Missing Parts of Nonprovisional Application
- ☒ 2 Return postcards

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Mara E. Liepa  
Name: Mara E. Liepa  
Reg. No.: 40,066  
MLiepa:PSTsb



S/N 09/922,438

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: EPSTEIN ET AL. Examiner: UNKNOWN  
Serial No.: 09/922,438 Group Art Unit: UNKNOWN  
Filed: AUGUST 3, 2001 Docket No.: 56111USA3A (7780.631US01)  
Title: OPTICAL FILM HAVING MICROREPLICATED  
STRUCTURES; AND METHODS

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 3, 2001.

By: Debra A. Bailey  
Debra A. Bailey

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents  
Attn: Official Draftsman  
Washington, D.C. 20231

Dear Sir:

Submitted herewith are 23 sheet(s) of Formal Drawings for completion of this application, and in compliance with the requirements of the Notice to File Missing Parts of Nonprovisional Application from the Examiner dated September 4, 2001.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: 30 Oct. 2001

Mara E. Liepa  
Mara E. Liepa  
Reg. No.: 40,066  
MEL:PSTsb



**23552**

PATENT TRADEMARK OFFICE

09/922,438-1000001



S/N 09/922,438

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	EPSTEIN ET AL.	Examiner:	UNKNOWN
Serial No.:	09/922,438	Group Art Unit:	UNKNOWN
Filed:	AUGUST 3, 2001	Docket No.:	56111USA3A (7780.631US01)
Title:	OPTICAL FILM HAVING MICROREPLICATED STRUCTURES; AND METHODS		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 3, 2001.

By: Debra A. Bailey  
Debra A. Bailey

COMMUNICATION

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The above identified application was filed on August 3, 2001. Because of the separate physical locations of the named-inventors, two signed declarations were obtained, the first declaration having the signatures of Kenneth A. Epstein and Richard F. Griffith, and the second declaration having the signature of Alan J. Campbell.

Attached is a photocopy of the signed declarations as filed on August 3, 2001. Also enclosed is a photocopy of the application transmittal cover sheet and the stamped returned postcard; both indicate that two (2) signed declarations were filed.

Applicants received a Notice to File Missing Parts, dated September 4, 2001, a copy of which is attached. The Notice stated that the signature of Allan J. Cambell was missing. This inventor's name is actually "Alan J. Campbell", and his signature was provided, and the correct spelling of his name, on the second declaration, as discussed above.

Applicants contend that the Notice to File Missing Parts was sent by the Patent Office in error, and that the two declarations, as filed on August 3, 2001 and copies of which are included herewith, are acceptable to fulfill the requirement.

Respectfully Submitted,

Kenneth A. EPSTEIN et al.

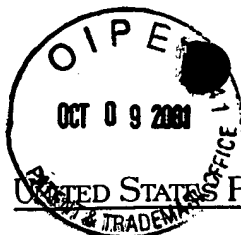
By their Representatives

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612) 332-5300

Date: 3 Oct. 2001

By

Mara E. Liepa  
Mara E. Liepa  
Reg. No. 40,066



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,438	08/03/2001	Kenneth A. Epstein	56111USA3A (7780.631US01)✓

CONFIRMATION NO. 7480

FORMALITIES LETTER



\*OC000000006509921\*

23552 DPM  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

Date Mailed: 09/04/2001

Mparts 2: Nov 4 2001  
Mparts 3/PTA: Dec 4 2001 D/RMZ  
Mparts Stat: Mar 4 2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2001 BABRAHA1 00000085 132725 09922438

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Allan J. Cambell*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*3/11/02*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY